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CARESIDE™ CO₂ Premarket Notification July 23, 1999

510(K) SUMMARY: CARESIDE™ CO2 SAFETY AND V. **EFFECTIVENESS**

I. **Applicant Information**

CARESIDE, Inc. Applicant Name 6100 Bristol Parkway Applicant/Manufacturer Address Culver City, CA 90230 Telephone Number 310-338-6767 C.

Kenneth B. Asarch, Pharm.D., Ph.D. Contact Person D.

310-338-6789 FAX Number E.

AsarchK@CARESIDE.com F. e-Mail Address

Date July 23, 1999 Date 510(k) Summary prepared G.

II. **Device Information**

CARESIDE™ CO₂, Total A. Device Name (Trade) Device Name (Classification) Bicarbonate/Carbon dioxide test system B. Device Classification Clinical chemistry panel C. Bicarbonate/Carbon dioxide test system

Regulation Number: 21 CFR 862.1160

Regulatory Class 2

Classification Number: 75KHS

Special controls and D. performance standards None applicable

III. Substantial Equivalence Claim

General equivalency claim Α.

The ability to monitor analyte-specific biochemical reactions in dry film and other formats is widely recognized and has gained widespread acceptance for use in chemistry assays.

CO₂ in vitro diagnostic products, in both dry film and other formats, are already on the U.S. market. CO₂ products include those that use dry film using a PEP carboxylase coupled enzyme reaction and reflectance photometry technology.

В. Specific equivalency claim

This CARESIDETM CO₂ test is substantially equivalent in intended use and clinical performance to the currently marketed Vitros CO₂ DT slides for the quantitative measurement of total CO₂ on the Vitros DT 60 II / DTE II system. The CARESIDE CO₂ utilizes the principle of reflectance photometry and the Vitros DT 60 II / DTE II system utilizes differential potentiometry.

Name of Predicate Devices: Johnson and Johnson's (formerly Eastman Kodak,

Inc.): Vitros CO2 DT Slides for Johnson and Johnson's (formerly Eastman Kodak) Vitros DT 60 II / DTE II system and Vitros ECO₂ DT Slides for Johnson and Johnson's Vitros Chemistry Analyzers.

Predicate Device 510K number:

K912844/A - K903144 75KHS

Predicate Product Code:

IV. Device Description

CARESIDE™ CO₂ cartridges are used with the CARESIDE Analyzer™ to measure total CO₂ in whole blood, serum or plasma specimens. The CARESIDE™ CO₂ cartridge, a single use disposable in vitro diagnostic test cartridge, delivers a measured volume of serum or plasma to a dry film to initiate the measurement of total CO₂. The film cartridge (patent pending) contains all reagents necessary to measure total CO₂.

A. Explanation of Device Function

Each CARESIDETM CO₂ cartridge consists of a CO₂-specific multi-layer reagent film mounted in a plastic base with a hinged lid. The user introduces the specimen into the cartridge Sample Well, closes the lid and inserts the cartridge into the CARESIDE $Analyzer^{TM}$.

Once loaded, the CARESIDE AnalyzerTM scans the cartridge barcode, brings the cartridge and the contained specimen to 37°C, and spins the cartridge to move the sample from the Sample Well into the cartridge channels and chambers where separation of cells, if present, occurs. 8.5 microliters of sample remains in the metering channel. Any excess sample flows into an overflow well.

The 8.5 microliters of sample is automatically dispensed onto the multi-layer reagent film. The spreading and substrate layer distributes the specimen uniformly. The color intensity of the resulting yellow dye, as measured by the amount of reflected light at 425 nanometers, directly relates to the total CO₂ concentration of the specimen.

Test Reaction Sequence:

Carbon dioxide in the form of bicarbonate ion combines with phosphoenolpyruvate in a reaction catalyzed by PEP-carboxylase (PEPC) to form oxaloacetate (OAC) and inorganic phosphate (PO₄⁻³) as shown below. Oxaloacetate reacts with thio-NADH (t-NADH) and hydrogen ion (H⁺) in a malate dehydrogenase (MDH) catalyzed reaction to form L-malate and thio-NAD⁺ (t-NAD⁺).

$$HCO_3^- + PEP \xrightarrow{PEPC Mg^{+2}} OAC + PO_4^{-3}$$

 $OAC + t-NADH + H^+ \xrightarrow{MDH} L-Malate + t-NAD^+$

As the cartridges spin, a photodiode measures reflectance of light emitted by a wavelength-specific light emitting diode (LED) over a fixed time. The analyzer uses the reflectance measurements and the lot-specific standard curve to calculate total carbon dioxide concentration.

B. Test Summary

Carbon dioxide is a gas, and as such occurs in very low concentrations in this form in the blood. Bicarbonate ion (HCO₃⁻) as well as other ions are in equilibrium with CO₂ in the blood. At physiological pH, CO₂ occurs in the largest concentration while carbonate and carbamino compounds are present in such low quantities that they are generally not considered separately. The bicarbonate ion concentration in blood is related to the total carbon dioxide concentration and the pH according to the Henderson-Hasselbach equation.

The bicarbonate ion/carbonic acid pair represents the most important buffer system of plasma. Clinical conditions characterized as metabolic disturbances of acid-base balance are classified as primary disturbances in HCO_3^- ion concentration. Primary disturbances in the total dissolved CO_2 are characterized as respiratory disturbances. Changes in the bicarbonate ion, dissolved CO_2 concentration, or both occur as a result of various compensatory mechanisms attempting to re-establish the normal ratio of bicarbonate ion to total dissolved CO_2 .

V. Intended Use

A. <u>Intended Use</u>

The CARESIDETM CO₂ cartridge is intended for *in vitro* diagnostic use in conjunction with the CARESIDE $Analyzer^{TM}$ to quantitatively measure total CO₂ in whole blood, serum or plasma.

B. <u>Indications for Use</u>

This product is indicated for use in the diagnosis and treatment of patients with respiratory and metabolic disorders associated with acid-base imbalance.

VI. Technological Characteristics

A. Similarities

	CARESIDE™ CO ₂	Vitros CO ₂ DT Slides
Intended Use	Primarily to aid in the	Primarily to aid in the
	diagnosis and treatment of	evaluation of acid-base status.
	patients with respiratory and	
	metabolic disorders associated	
	with acid-base imbalance	
Indications	For in vitro diagnostic use.	For in vitro diagnostic use
	For professional laboratory	
	use: not for point of care or	
	physician office laboratory	
	use.	
Measurement	Quantitative	Same
Method Principle	Dry film, reflectance	Differential potentiometry
	photometry	
Specimen dilution	Not required	Same
Materials	PEP, PEP carboxylase, thio-	Silver, silver chloride, sodium
	NADH, and malate	chloride, potassium chloride,
	dehydrogenase.	trioctylpropylammonium
		chloride, and
		decyltrifluoroacetophenone
Detector	Photodiode (425 nm)	Ion-selective electrode
Test time	Approx. 4 minute warm-up	15 minutes slide warm-up
	(on-board) plus 5 minute test	(off-line) plus 3 minutes test
	time.	time.
Sample Type	Anti-coagulated whole blood,	Serum or plasma
	heparinized plasma, or	
	serum.	
Specimen volume	8.5 µl test volume	10 μl
	$(85 \pm 15 \mu l \text{ applied volume})$	
Calibration	Calibration information bar-	Run Vitros DT II calibrators
	coded on each cartridge.	whenever a new slide lot is
	Calibration information may	used or when necessary.
	change with each lot.	
Quality Control	2 levels	Same
Reporting Units	mmol/L	Same
Reaction Temp.	37 °C	Same

B. <u>Differences</u>

	CARESIDE™ CO ₂	Vitros CO ₂ DT Slides
Specimen Processing	Not required	Required
Accurate pipetting	Not required	Required
Reagent pre- warming	Not required	Required

C. Comparative Performance Characteristics

	CARESIDE™ CO ₂	Vitros CO ₂ DT Slides
Detection limit	5 mmol/L	5 mmol/L
Reportable range	5 to 40 mmol/L	5 to 50 mmol/L
Accuracy	Mean recovery 101%	Not provided
Precision	Total CV, 19 mmol/L, 6.6%	Total CV, 22 mmol/L, 6.6%
Method	CARESIDE TM = 1.06 (Vitros CO_2 DT) $- 1.94$ mmol/L, $r = 0.97$	
comparison		
Linearity	Linearity yielded slope and	Not provided
	correlation coefficient within	
	acceptable limits.	
Interference	No significant interference	Bromide, iodide, nitrate,
	observed at tested	diatrizoate may cause positive
	concentration of interferent:	interference.
	Ascorbic Acid,20 mg/dL	
	Bilirubin,15 mg/dL	
	Hemoglobin,300 mg/dL	
	Total Protein,15 g/dL	
	Triglycerides3000 mg/dL	

D. <u>Conclusion</u>

The nonclinical and clinical data provided demonstrate that the CARESIDE™ CO₂ product is as safe, effective, and performs as well as or better than the legally marketed predicate device

DEPARTMENT OF HEALTH & HUMAN SERVICES



Food and Drug Administration 2098 Gaither Road Rockville MD 20850

SEP 1 5 1999

Kenneth B. Asarch, Ph.D. VP Quality Systems and Regulatory Affairs Careside, Inc. 6100 Bristol Parkway Culver City, California 90230

Re: K992475

Trade Name: CARESIDE™ CO₂ Total for use on the Careside Analyzer™

Regulatory Class: II Product Code: KHS Dated: July 23, 1999 Received: July 26, 1999

Dear Dr. Asarch:

We have reviewed your Section 510(k) notification of intent to market the device referenced above and we have determined the device is substantially equivalent (for the indications for use stated in the enclosure) to legally marketed predicate devices marketed in interstate commerce prior to May 28, 1976, the enactment date of the Medical Device Amendments, or to devices that have been reclassified in accordance with the provisions of the Federal Food, Drug, and Cosmetic Act (Act). You may, therefore, market the device, subject to the general controls provisions of the Act. The general controls provisions of the Act include requirements for annual registration, listing of devices, good manufacturing practice, labeling, and prohibitions against misbranding and adulteration.

If your device is classified (see above) into either class II (Special Controls) or class III (Premarket Approval), it may be subject to such additional controls. Existing major regulations affecting your device can be found in the Code of Federal Regulations, Title 21, Parts 800 to 895. A substantially equivalent determination assumes compliance with the Current Good Manufacturing Practice requirements, as set forth in the Quality System Regulation (QS) for Medical Devices: General regulation (21 CFR Part 820) and that, through periodic QS inspections, the Food and Drug Administration (FDA) will verify such assumptions. Failure to comply with the GMP regulation may result in regulatory action. In addition, FDA may publish further announcements concerning your device in the Federal Register. Please note: this response to your premarket notification submission does not affect any obligation you might have under sections 531 through 542 of the Act for devices under the Electronic Product Radiation Control provisions, or other Federal laws or regulations.

Under the Clinical Laboratory Improvement Amendments of 1988 (CLIA-88), this device may require a CLIA complexity categorization. To determine if it does, you should contact the Centers for Disease Control and Prevention (CDC) at (770) 488-7655.

This letter will allow you to begin marketing your device as described in your 510(k) premarket notification. The FDA finding of substantial equivalence of your device to a legally marketed predicate device results in a classification for your device and thus, permits your device to proceed to the market.

If you desire specific advice for your device on our labeling regulation (21 CFR Part 801 and additionally 809.10 for <u>in vitro</u> diagnostic devices), please contact the Office of Compliance at (301) 594-4588. Additionally, for questions on the promotion and advertising of your device, please contact the Office of Compliance at (301) 594-4639. Also, please note the regulation entitled, "Misbranding by reference to premarket notification"(21 CFR 807.97). Other general information on your responsibilities under the Act may be obtained from the Division of Small Manufacturers Assistance at its toll-free number (800) 638-2041 or (301) 443-6597, or at its internet address "http://www.fda.gov/cdrh/dsma/dsmamain.html".

Sincerely yours,

Steven I. Gutman, M.D, M.B.A.

Director

Division of Clinical Laboratory Devices Office of Device Evaluation Center for Devices and Radiological Health

Enclosure

VII. INDICATIONS FOR USE

510(k) Number:

K 99 2475

Device Name:

CARESIDETM CO₂

Indications for use:

For *in vitro* diagnostic use with the CARESIDE *Analyzer*TM to measure total CO₂ from anticoagulated whole blood, serum or plasma specimens to aid in the diagnosis and treatment of patients with respiratory and metabolic disorders associated with acid-base

imbalance.

(Division Sign-Off)

Division of Clinical Laboratory Devices

Division of Con K 99347

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Concurrence of CDRH, Office of Device Evaluation (ODE)

Prescription Use $\sqrt{}$ (Per 21 CFR 801.109)

OR

Over-The-Counter Use (Optional Format 1-2-96)